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UNITED STATES

2018 APR 13 PH ISECURITIES AND EXCHANGE COMMISSION

SEC / TM

Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New notice Securities Product Pursuant to Rule 10h 465 Visited

Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM **Initial Listing Report** Part I Name of Self-Regulatory Organization Listing New Derivative Securities Product: 1. Chicago Stock Exchange, Inc. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 2. 3. Class of New Derivative Securities Product: Ownership of the Trust Name of Underlying Instrument: 4. PGIM Ultra Short Bond ETF f Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: 5. Narrow-Based Ticker Symbol(s) of New Derivative Securities Product: 6. PULS Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Various Settlement Methodology of New Derivative Securities Product: 8. See Prospectus 9. Position Limits of New Derivative Securities Product (if applicable): See Prospectus Execution Part II The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Michael J. Cardin Title: Director Member Regulation Department Telephone Number: 312-663-2204 gnature of Official Responsible Securities Exchange Act of 1934 Section Rule 19b-4(c)

SEC 2449 (6-01)

Public Availability:

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April 11, 2018

By UPS

Claudette Ransom
Division of Trading and Markets
Securities and Exchange Commission
100 F Street NE – Stop 7010
Washington, DC 20549

Re: Form 19b-4(e) for exchange traded product

Dear Ms. Ransom:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and four copies of the Form 19b-4(e) relating to the following exchange traded product:

PULS PGIM Ultra Short Bond ETF

If you have any questions about this filing, please contact the undersigned at (312) 663-2204 or Kevin Curtin at (312) 663-2252.

Sincerely,

Michael J. Cardin

Director

Member Regulation Department

Enclosures